

Regulatory & Disciplinary

legal support



Leigh Day



You're not alone

Facing a professional disciplinary investigation or proceedings can be stressful and things can quickly feel overwhelming, but you're not alone; we're here to help.

We work with practitioners at all stages of their careers across the full spectrum of regulatory issues and at different points in the investigation and disciplinary process. We understand the sorts of pressures professionals working in a regulated environment face and what it's like to have your decisions and practices put under the microscope.

Whether your regulator has contacted you asking questions, you need objective advice about your situation or you're looking for someone to guide you through the investigation process, we'll be here for you.

“

You clearly gave careful and thoughtful consideration to the issues, and I am sure all of that contributed to making this as smooth as could be hoped for. I felt in very good hands throughout”

Client

Recent examples of ways we have helped our clients include:

- successfully persuading the Solicitors Regulation Authority to take no further action against a solicitor accused of having acted dishonestly
- supporting a solicitor in making a highly sensitive self-report to the Solicitors Regulation Authority, resulting in no further action
- advising a GP who accepted a Community Resolution Order on his options in General Medical Council proceedings
- assisting a sole practitioner and compliance officer to respond to a Solicitors Regulation Authority investigation into accounting and potential client money issues, avoiding an intervention
- representing a solicitor and notary public in the Solicitors Disciplinary Tribunal and on appeal to the High Court
- acting for a social worker in fitness to practise proceedings brought against him by Social Work England
- acting for a junior solicitor in her appeal against the Solicitors Disciplinary Tribunal's decision to strike her off for alleged misconduct whilst suffering mental ill health



Guiding you through

Working in a regulated environment can be challenging and getting it wrong can ruin reputations, careers and businesses. We offer independent expertise to help you cope with regulation or handle an approach by your regulator.

We know that professional practice can throw complex issues into the most carefully plotted of paths. We'll make sure you get the clear, pragmatic advice and support you need to make the right decisions and take the right steps, so you can make sure it's business as usual for you and your practice.

Our recent work supporting professional practices, charities and companies, across a wide range of disciplines, and on a wide range of issues includes:

- advising and assisting a sole practitioner to respond to enquiries from the Solicitors Regulation Authority
- collaborating with an innovative medical company in addressing aspects of the Care Quality Commission registration requirements
- supporting a firm in an early-stage Solicitors Regulation Authority investigation into its accounting practices
- advising a professional training organisation about its complaints handling and disciplinary procedures
- assisting a national charity on a project with a complex data protection dimension

Communications with your regulator about tricky issues require careful handling from the outset. The sooner you contact an expert for advice, the better prepared you will be to control the situation. We'll listen to your concerns and needs, work with you to find the best way forward and help you engage constructively with your regulator.

“

I want to thank you for your work and understanding of my situation. At the time I approached you, it was very distressing and it was really helpful to talk through and be fully advised on the regulatory and tactical matters.”

Client



Why choose Leigh Day

For more than thirty years, Leigh Day has fought for clients against powerful players the world over to ensure justice is done and that our clients' voices are heard.

The firm's "David v Goliath" reputation means we're committed to achieving the best possible outcome for you.

We've also experienced directly the impact that regulatory investigations and prosecution can have. Over more than four years, the team successfully defended the firm and some of our close colleagues against a high-profile regulatory investigation, prosecution and appeal. We bring that unique insight and experience to our work for every client.

Some of the country's leading employment law and judicial review specialists work for Leigh Day and we have access to experienced barristers outside the firm, who can help analyse and address the nuances of your particular circumstances. If matters are reported to the media, we can also help you to manage and protect your reputation.

“

Without Emma's support, I think I would have fallen apart. She gave a really incredible amount of support.”

Client





Our specialist team

Speak directly and in confidence to one of our specialist regulatory and disciplinary lawyers.

We help clients deal with their unique challenges every day. We know what it means to fight tenaciously for our clients in difficult circumstances and we bring a determination to our work for every client to enable us to get the best result.

Our priority in every case is to understand your specific needs. We offer a free, confidential consultation so you can ask questions to understand how we would approach your case and feel reassured of our expertise and professionalism.

We are down-to-earth and straightforward people. We build open and frank relationships with our clients, so we can provide directed advice, practical support and robust representation.

“

Gideon assisted me when the SRA alleged I had been dishonest. He helped me draft a full response to the SRA, rebutting the allegations. Happily, the SRA decided to take no further action. I have no hesitation in recommending him and his colleagues.”

Client






Leigh Day

Justice for all


Leigh Day is a specialist law firm with some of the country's leading regulatory and disciplinary, personal injury, product liability, clinical negligence, employment and discrimination, international and human rights teams.


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 Leigh Day - R&D Team

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