

Leigh Day

Regulatory &
Disciplinary

**We've been
there, we
can help**





You're not alone

Facing a professional disciplinary issue or proceedings can be stressful and things can quickly feel overwhelming, but you're not alone; we're here to help.

We understand the sorts of pressures professionals working in a regulated environment face and what it's like to have your decisions and practices put under the microscope.

If your regulator has contacted you, whether you need some objective advice about your situation or you're looking for someone to help you through the process, we'll be here for you.

You'll find us down-to-earth and straightforward people. We build open and frank relationships with our clients, so we can provide you with directed advice, practical support and robust representation.



Guiding you through

Getting regulatory matters wrong can ruin reputations, careers and businesses. Whether you're looking for independent expertise to guide your practice or to handle an approach by your regulator, we can help.

We know that professional practice can throw complex issues into the most carefully plotted of paths. We're here to ensure that you get the clear, pragmatic advice and support you need to make the right decisions and take the right steps, so you can make sure it's business as usual for you and your practice.

An approach by and communications with your regulator require careful handling from the outset. The sooner you contact an expert for advice, the better prepared you will be to manage the situation. We can advise and assist you with how to respond, listening to your requirements and working with you to find the best way forward.

We can help you decide what help you need and we'll be here for you, guiding you through the process.



How we can help

For more than thirty years, Leigh Day has fought for clients against powerful players the world over to ensure justice is done and that our clients' voices are heard. This means we're committed to getting the best outcome for you, in spite of the obstacles.

For more than four years, our team worked on a high-profile regulatory matter against the firm and some of its employees. We've experienced the impact that regulatory investigations and prosecution can have, so we're well placed to advise and assist you. We can help you to work through compliance issues relevant to your practice, to prepare for a visit by your regulator, with an investigation or disciplinary proceedings, including defending you in the tribunal or in an appeal. We know what it means to fight for our clients with tenacity under challenging circumstances and we'll apply that same determination to your case, to do the very best for you.



We have access to some of the country's leading employment law and judicial review specialists within our own firm and to experienced barristers outside the firm, who can help analyse and address the nuances of your particular circumstances.

Our first priority is to understand your needs, as every case is unique. Let us know your situation and how we can help you move forward, using the details overleaf.

Leigh Day



Here
for
you



Frances Swaine
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Contact us for a
confidential discussion

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